



# The reaction of water on polycrystalline $\text{UO}_2$ : Pathways to surface and bulk oxidation

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## Abstract

The reaction of polycrystalline uranium dioxide with  $\text{H}_2\text{O}$  is studied by in situ Raman spectroscopy, temperature programmed desorption (TPD) and X-ray photoelectron spectroscopy (XPS). The difference between  $\text{UO}_2$  and  $\text{U}_3\text{O}_8$  can be seen in the bulk by XRD and Raman and on the surface by both the core level and valence band regions. In the valence band region the U 5f line was far more pronounced for  $\text{UO}_2$  than for  $\text{U}_3\text{O}_8$ . It was possible to monitor the near surface oxidation of  $\text{UO}_2$  to  $\text{U}_3\text{O}_8$  by  $\text{H}_2\text{O}$  at 300 K using Raman spectroscopy while oxygen deposition was quantified using XPS. TPD of  $\text{D}_2\text{O}$  on  $\text{H}_2$ -reduced  $\text{U}_3\text{O}_8$  ( $\approx\text{UO}_2$ ) showed desorption of  $\text{D}_2$ .  $\text{D}_2$  desorption occurred in two temperature domains (at  $\approx 410$  K and  $\approx 570$  K). Increasing the surface exposure to  $\text{D}_2\text{O}$  affected the distribution of both  $\text{D}_2$  peaks. The first desorption-peak populated first while at relatively higher  $\text{D}_2\text{O}$  exposure the second desorption peak increased considerably in intensity. The second desorption of  $\text{D}_2$  during  $\text{D}_2\text{O}$ -TPD can be tracked down to oxidation of deeper layers.

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## 1. Introduction

The surface chemistry of uranium oxides has been the focus of several studies in the last few years [1–15]. The rich chemistry associated with the uranium oxides stems from their numerous complex phases, with the most thermodynamically stable phases being  $\text{UO}_2$ ,  $\text{U}_3\text{O}_8$  and  $\text{UO}_3$ . Uranium dioxide as a major component of nuclear fuel has been under close chemical scrutiny; in particular with relation to aspects of the storage of spent

and excess nuclear materials over long periods of time for safe radioactive decontamination. The oxidation of  $\text{UO}_2$  surface is known to result in a bulk transformation first to  $\text{U}_3\text{O}_7$  then to  $\text{U}_3\text{O}_8$  and this process is associated with a volume expansion of 35% [16,17]. This requires careful design and engineering for permanent fuel storage to avoid further complications that may arise over long periods of time. The abundance of water in nature and its influence on all aspects of chemistry is clear and promotes great interest with relation to its chemical interactions with solid surfaces [18]. The reactions of water on uranium oxides have been undertaken in several works over single crystal [3,11,12], thin film [19], and polycrystalline surfaces [13–15] with observation of thermally activated evolution of hydrogen over

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oxygen defected/reduced  $\text{UO}_2$  surfaces. Results have shown that the reduced  $\text{UO}_2$  surface is oxidized upon reaction with water as has been observed on oxygen defected  $\text{UO}_2(111)$  [3] and on  $\text{UO}_2(100)$  [11,12] single crystals.

Raman spectroscopy is commonly used as a bulk characterization technique to identify solid materials. Several studies have been previously undertaken using the Raman microscopy technique for the characterization of the U–O system [20–28] but few have focused attention to the oxidation and reduction mechanisms associated with these materials [28].  $\text{UO}_2$  has a fluorite structure with a space group of  $\text{O}_h$  (Fm3m) and group theory predicts [29] two vibrational modes of  $T_{2g} + T_{1u}$ . The  $T_{2g}$  (triple degenerate) mode is Raman active while  $T_{1u}$  is infrared active [22]. Tri-uranium octaoxide ( $\text{U}_3\text{O}_8$ ) is intermediate in oxidation between  $\text{UO}_2$  and  $\text{UO}_3$ . It has many known crystalline forms  $\alpha\text{-U}_3\text{O}_8$ ,  $\alpha'\text{-U}_3\text{O}_8$ ,  $\beta\text{-U}_3\text{O}_8$ , and  $\gamma\text{-U}_3\text{O}_8$  of which  $\alpha\text{-U}_3\text{O}_8$  is the most stable. Its complex structure has been previously studied by several models including those of Loopstra (using neutron diffraction [30]) and Ohwada and Soga with approximate coordination analysis [31] to an ever-complicated array of vibrational assignments that is as yet not complete. Butler et al. have endeavored to complement theoretical calculations using the models of Loopstra and Ohwada with experimental Raman spectra [20]. In this work we have studied the reaction of  $\text{H}_2\text{O}$ -vapor over the surface of polycrystalline  $\text{UO}_2$  using X-ray photoelectron spectroscopy, temperature programmed desorption and micro Raman spectroscopy techniques in an effort to understand the dynamic of surface mediated oxidation of  $\text{UO}_2$  to  $\text{U}_3\text{O}_8$  upon contact with  $\text{H}_2\text{O}$  vapor.

## 2. Experimental

The Raman spectroscopy was carried out using a Renishaw 1000 Raman Microscope. A blue laser was used at 488 nm as the excitation source with an operation power of 20 mW, and a red laser was also used where specified for single crystal samples at 786 nm with an operation power of 26 mW. The spectra were obtained at an exposure of 20 s on an extended shift of 100–4000  $\text{cm}^{-1}$ , with multiple scans co-added to ensure maximum sensitivity. The laser was focused onto the sample using 50 $\times$  and 20 $\times$  uncoated-objective lenses, at a sample spot size of  $\approx 1 \mu\text{m}$ . Primarily the Raman laser was calibrated using a calcite crystal (1086  $\text{cm}^{-1}$ ), and the correct shift is maintained for all the oxide samples. During the start of all Raman scans a cosmic ray subtraction is automatically carried out to account for any radioactive interference from the immediate atmosphere. A Linkam THMS 600 hot/cold stage was used to maintain the uranium oxide samples in situ, while

being heated under  $\text{H}_2/\text{O}_2$  environments, at 1 atmosphere. The stage is water-cooled and temperature controlled using an interfaced programmer. Small glass windows were used for the reflectance of the laser beam. The samples for this experiment were made by primarily pressing technical grade  $\alpha\text{-U}_3\text{O}_8$  into discs of  $\sim 1 \text{mm}$  thickness. Thus once inserted into the stage, introduction of degassed  $\text{H}_2\text{O}$  vapor was performed by way of injections of 1 ml from a degassed (oxygen-free)  $\text{H}_2\text{O}$  vial through a septum attached on to the stage.

Hydrogen-reduced and oxygen annealed  $\text{U}_3\text{O}_8$  samples were also characterized using X-ray diffraction (XRD) prior to experimentation to confirm the total transformation to  $\text{UO}_2$ . The XRD spectra were collected using a Phillips 1130 generator and a Philips 1050 goniometer (X-ray source Cu tube  $\text{K}\alpha$  0.1514 nm, 44 kV, 20 mA) at an operating speed of  $2^\circ 2\theta \text{min}^{-1}$ .

X-ray photoelectron spectroscopy is undertaken in a stainless steel ultra high vacuum (UHV) chamber ( $1 \times 10^{-10}$  Torr) Perkin–Elmer (PHI) equipped with surface analysis and sputtering equipment as described elsewhere [3]. The XPS experiments were undertaken with Al  $\text{K}\alpha$  radiation source (1486.6 eV ( $h\nu$ ), 240 W, 14 kV) at room temperature with the sample at  $45^\circ$  from the X-ray source. The polycrystalline samples are mechanically pressed into 0.5 mm thick pellet and mounted onto stainless steel stubs and pretreated (where described) in a pre-chamber (base pressure less than  $5 \times 10^{-9}$  Torr) and placed onto  $360^\circ$  carousel/rotatable turret prior to scanning. Scans of the samples are undertaken at 25–50 eV pass energy, 350 ms per step, 0.1 eV per step, and with 15–30 co-added scans. Sample preparation involved sputtering with  $\text{Ar}^+$  (3–5 keV, 20 mA) and annealing to high temperatures (800 K) for several hours to undergo reduction (where applicable) and clean samples from impurities. The adsorption of  $\text{H}_2\text{O}$  is undertaken from a pyrex vial attached to a leak valve and a stainless steel dosing arm adjoining the UHV chamber, which is pumped to 10 mTorr with a roughing pump. Dosing of  $\text{H}_2\text{O}$  is performed at room temperature by positioning sample in front of a dosing needle.

Temperature programmed desorption (TPD) is undertaken in a smaller high vacuum chamber ( $1 \times 10^{-7}$  Torr) equipped with a Leda–Mass quadrupole mass spectrometer and pumped with a diffusion pump. The vacuum chamber is connected through a leak valve to a glass manifold with a roughing pump. A ‘U’ shaped quartz reactor with specially fitted porous sinter to allow for powder sample to rest on and for gases to flow through is connected to the manifold together with a Cajon fitted septum for injections of  $\text{D}_2\text{O}$  (99.96%, obtained from Aldrich Chemical Co) with a SGE 1  $\mu\text{L}$  syringe. 1  $\mu\text{L}$  of  $\text{D}_2\text{O}$  contains  $5.522 \times 10^{-5}$  mol or  $3.33 \times 10^{19}$  molecules (density of  $\text{D}_2\text{O} = 1.44 \text{g mL}^{-1}$ ). A Lindberg blue high temperature oven is placed around the U reactor and ramped linearly (0.25 K/s) during

TPD experiments. Preparation of powder samples was performed in the same experimental setup prior to experiments.  $\alpha$ - $\text{U}_3\text{O}_8$  (BDH Chemicals Ltd.) was cleaned by annealing under  $\text{O}_2$  to 773 K for 4 h.  $\text{UO}_2$  was formed from  $\alpha$ - $\text{U}_3\text{O}_8$ , by annealing to 773 K under  $\text{H}_2$  flow ( $\approx 20$  ml/min, 1 atm) for 10 h.

In order to calculate the area of the peaks a calibration of the mass spectrometer had to be done in order to differentiate the contribution of water ( $\text{H}_2\text{O}$ ) from that of DO for  $m/z$  18. For this calibration  $\text{D}_2\text{O}$  was introduced into the mass spectrometer to see the variation of  $m/z$  17, 18 and 20. The ratio  $\frac{m/z=18}{m/z=17} = 3$  before the injection of  $\text{D}_2\text{O}$  gives the background contribution of water inside the high vacuum mass spectrometer chamber. The contribution of DO (from  $\text{D}_2\text{O}$ ) can be calculated after subtraction of  $\text{H}_2\text{O}$  contribution based on  $m/z = 17$ . The remaining ratio could then be calculated;  $\frac{\text{D}_2\text{O}}{\text{DO}} = 5$ .

### 3. Results and discussion

The results will be presented as follows. First, the characterization of  $\text{UO}_2$  and  $\text{U}_3\text{O}_8$  samples by Raman followed by monitoring the effect of water on the oxidation of  $\text{UO}_2$ . Second, XPS of the core levels of  $\text{UO}_2$  and  $\text{U}_3\text{O}_8$  before and after  $\text{H}_2\text{O}$  exposure in order to quantify the amount of oxygen deposited and associated changes. Third, TPD of  $\text{D}_2\text{O}$  on  $\text{UO}_2$  showing the associated  $\text{D}_2$  evolution, due to water dissociation and oxygen deposition.

Fig. 1 details the characterized Raman spectra of polycrystalline  $\text{UO}_2$  and  $\alpha$ - $\text{U}_3\text{O}_8$  undertaken using the blue laser (488 nm). The experiments conducted on polycrystalline samples using the red laser (786 nm) yielded indistinguishable weak spectra possibly due to fluorescence of the sample.

The vibrational assignments for  $\text{U}_3\text{O}_8$  are relatively complex. The most commonly accepted structure for  $\alpha$ - $\text{U}_3\text{O}_8$  consists of a pentagonal bi-pyramidal structure [32]. The  $\alpha$ - $\text{U}_3\text{O}_8$  spectrum in Fig. 1 is in good agreement with the results of Butler et al. [20] and Palacios et al. [23]. Table 1 details the vibrational assignments of 340, 405, 480  $\text{cm}^{-1}$  peaks corresponding to  $A_{1g}$ ,  $A_{1g}$  and  $E_g$  (U–O) stretching, respectively. Peaks at 640 and 795  $\text{cm}^{-1}$  were collectively identified as overtones of the  $A_{1g}$  and  $E_g$  stretches (235, 342, 405 and 480  $\text{cm}^{-1}$ ). The peak observed at 235  $\text{cm}^{-1}$  is left unassigned, as it does not fit this model or any of the other available models; this peak was also observed and unassigned by other workers [20,28].

The  $\text{UO}_2$  spectrum is far simpler in nature as only a single fundamental vibrational stretch is expected of the  $T_{2g}$  U–O and is seen at 450  $\text{cm}^{-1}$ . This peak is a triple degenerate ( $T$ ), symmetric ( $g$ ) stretching mode that arises as a result of the fluorite structure of  $\text{UO}_2$ . The surrounding eight O atoms for U gives a space grouping

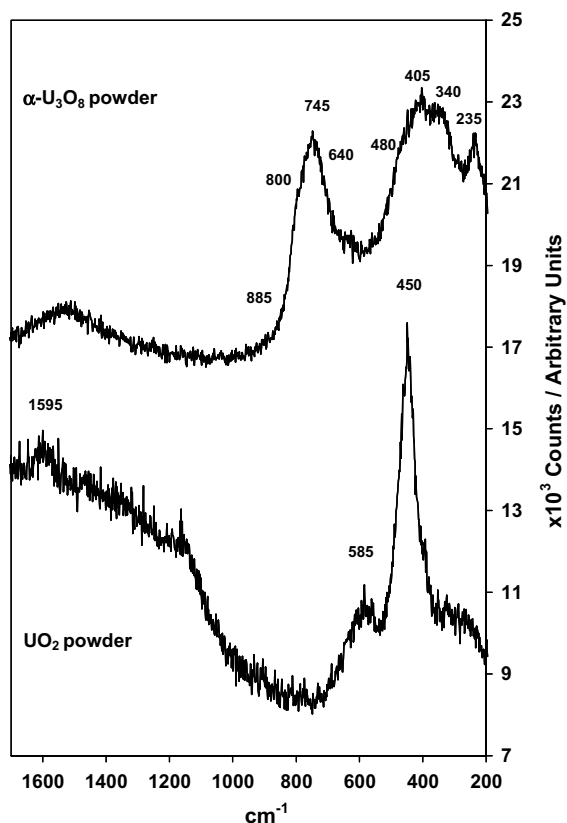


Fig. 1. Raman vibrational spectra using 488 nm blue laser of polycrystalline  $\text{UO}_2$  and  $\alpha$ - $\text{U}_3\text{O}_8$ .

of  $\text{O}_h$ , that predicts two vibrational modes; the  $T_{2g} + T_{1u}$ . The peak at 585  $\text{cm}^{-1}$  has been seen by other workers. It is not that of stoichiometric  $\text{UO}_2$ , but is a result of a damaged  $\text{UO}_2$  matrix, and is thus a possible expression of bulk defects resulting in a breakdown of selection rules.

The  $\text{UO}_2$  single crystal spectra using the blue laser share similar peaks of 450 and 585  $\text{cm}^{-1}$  with that of polycrystalline  $\text{UO}_2$  (Fig. 2). Although the 585  $\text{cm}^{-1}$  peak appears composed of two peaks (a shoulder at the high frequency side). The main difference is however seen for the 1150  $\text{cm}^{-1}$  peak that was not noticeable in the case of the polycrystalline oxide. This 1150  $\text{cm}^{-1}$  stretch has been observed by Graves [22] on  $\text{UO}_2$  single crystal, Schoenes [24] and Manara et al. [28] on polycrystalline  $\text{UO}_2$ ; all using a 514 nm laser and subsequently attributed this artifact as due to electron scattering explained by a crystal field transition  $\Gamma_5 \rightarrow \Gamma_3$ . The 930  $\text{cm}^{-1}$  peak cannot be assigned at present as it has not been identified on other works nor from theoretical models. Using a weaker excitation source (the 785 nm laser) resulted in similar peaks but with different relative intensities. The largest intensity is now the

Table 1  
Raman spectra peak assignments of polycrystalline  $\text{UO}_2$ ,  $\text{U}_3\text{O}_8$  and  $\text{UO}_2(111)$  single crystal

Raman shift ( $\text{cm}^{-1}$ )( $\pm 2$ )	Assignment
<i>UO<sub>2</sub> polycrystalline</i>	
450	$T_{2g}$ U–O stretch
585	<sup>a</sup>
1595	1150 + 445 = 1595
Raman shift ( $\text{cm}^{-1}$ )( $\pm 5$ )	Assignment
<i>U<sub>3</sub>O<sub>8</sub> polycrystalline</i>	
235	?
340	$A_{1g}$ U–O stretch
405	$A_{1g}$ U–O stretch
480	$E_g$ U–O stretch
640	235 + 405 = 640
745	340 + 405 = 745
800	340 + 480 = 820
885	405 + 480 = 885
Raman shift ( $\text{cm}^{-1}$ )( $\pm 2$ )	Assignment
<i>UO<sub>2</sub> (111) single crystal</i>	
450	$T_{2g}$ U–O stretch
585	<sup>b</sup>
920	450 + 450 = 920
1150	<sup>b</sup>

<sup>a</sup> Due to a damaged matrix.

<sup>b</sup> Electron scattering, see text for more details.

fundamental  $451 \text{ cm}^{-1}$  stretch with the  $1150 \text{ cm}^{-1}$  peak much smaller in intensity. The peak at  $585 \text{ cm}^{-1}$  has de-convoluted to two peaks at  $578$  and  $640 \text{ cm}^{-1}$ .

Fig. 3 details the in situ micro-Raman spectra of  $\text{H}_2\text{O}$  oxidizing polycrystalline  $\text{UO}_2$  to  $\text{U}_3\text{O}_8$ . These spectra in comparison to those in Fig. 1 exhibit a far weaker absorbance. This is due to the attenuation caused by the cell windows. The first spectra is clearly that of  $\text{UO}_2$  (a peak at  $458 \text{ cm}^{-1}$ ), upon injection of 1 mL of gas phase  $\text{H}_2\text{O}$  ( $1.6 \times 10^{-6}$  mol) the peak at  $450 \text{ cm}^{-1}$  became broader due to the development of other peaks at the low wavenumber side. Equally important is the appearance of a peak at  $\sim 756 \text{ cm}^{-1}$  characteristic of  $\alpha\text{-U}_3\text{O}_8$  (compare to Fig. 1). Doubling the amount of  $\text{H}_2\text{O}$  doubled the intensity of the  $756 \text{ cm}^{-1}$  peak (while 3 ml of  $\text{H}_2\text{O}$  also resulted in a further enhancement of the peak). This experiment confirms that at least part of the near bulk of polycrystalline  $\text{UO}_2$  has been partially oxidized to  $\alpha\text{-U}_3\text{O}_8$ .

Upon visual investigation of the surface using the Raman optical Microscope (Leica DMML) it is possible to distinguish the formation of several darker regions of  $\text{U}_3\text{O}_8$  and further confirmed using Raman. These regions are not consistently formed throughout the powder surface but appear with a degree of in-homogeneity mixed with large areas of  $\text{UO}_2$ . Fig. 4 shows one representative image of these regions undertaken at  $500\times$  magnification with the lighter golden areas specifying

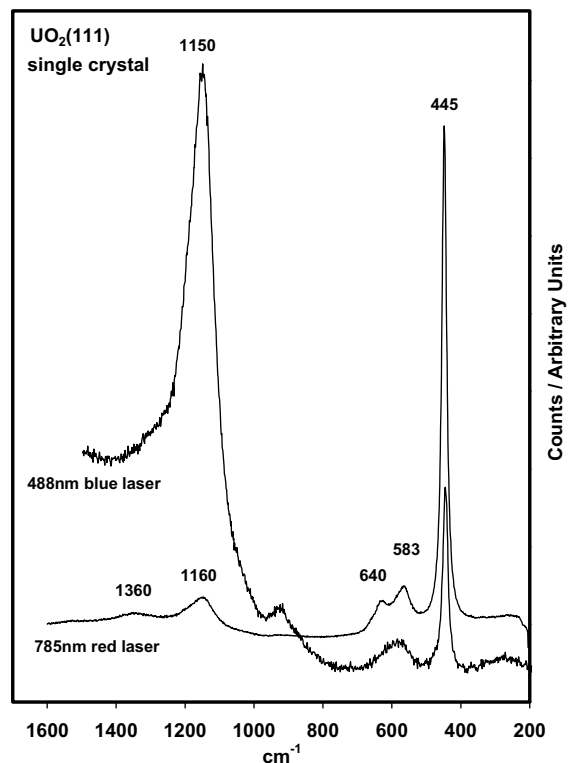


Fig. 2.  $\text{UO}_2(111)$  single crystal Raman vibrational spectra using 488 (blue) and 756 nm (red) lasers.

the areas of  $\text{U}_3\text{O}_8$  and the darker regions of  $\text{UO}_2$ . The cross hairs of the image detail the point at which the Raman spectra were extracted. It has to be noted that the color of  $\text{UO}_2$  is normally a light brown darker than the green/grey color of  $\text{U}_3\text{O}_8$  however under the microscope it appears as the opposite due to the illumination of the light onto these regions.

XRD did not show much change before and after  $\text{H}_2\text{O}$  adsorption, figures not shown for simplicity, they are identical to those reported for  $\text{UO}_2$  elsewhere [4,10]. This may indicate that the main bulk of the material has not been oxidized. Another possibility is that the bulk of the oxide that has been oxidized due to the contact with water does not diffract well. The activation energy,  $E_d$ , for atomic O diffusion in  $\text{UO}_2$  computed from [33] is equal to  $177 \text{ kJ mol}^{-1}$  while the pre-exponential term,  $D_0$ , is found equal to  $3.59 \times 10^{-3} \text{ cm}^2/\text{s}$ . These values are taken from three measurements between 878 and 1023 K. Assuming that these are still valid at lower temperatures the diffusion coefficient,  $D_{310\text{K}}$ , was estimated and found equal to  $\approx 5.2 \times 10^{-33} \text{ cm}^2 \text{ s}^{-1}$  far smaller than XRD sensitivity.

XP spectra of Fig. 5 show the powder samples of  $\text{U}_3\text{O}_8$  and  $\text{Ar}^+$  sputtered  $\text{U}_3\text{O}_8(\approx \text{UO}_2)$ . We have previously studied the XPS core level of this system [10]. Both  $\text{H}_2$ -reduction and  $\text{Ar}^+$ -sputtering gave similar results: a

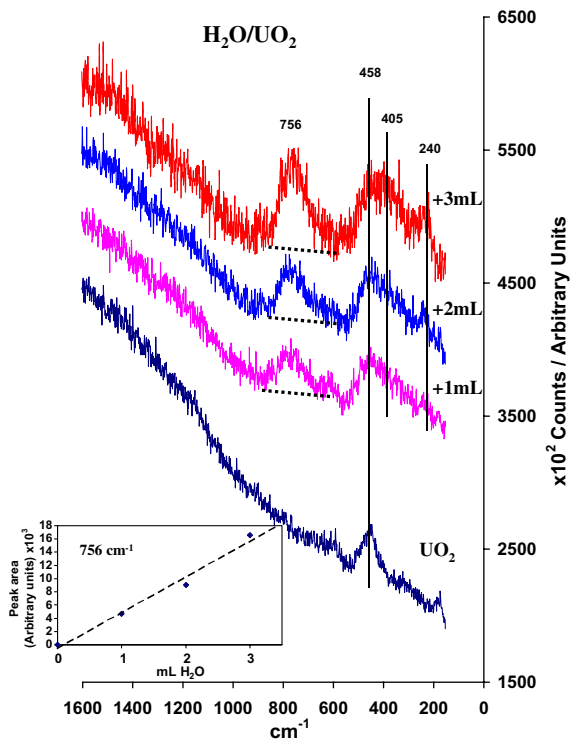


Fig. 3. In situ Raman spectroscopy experiment of  $\text{H}_2\text{O}$  vapour oxidation of  $\text{UO}_2$  to  $\text{U}_3\text{O}_8$ . Scans performed with incremental exposure of  $\text{H}_2\text{O}$  (gas phase 1–3 ml injections). Inset shows the gradual increase of  $756\text{ cm}^{-1}$  peak increasing with  $\text{H}_2\text{O}$  injection.

reduction of  $\text{U}_3\text{O}_8$  to  $\text{UO}_2$  (or very close to  $\text{UO}_2$ ). The variation between the two samples is distinguishable from the difference in position of the  $\text{U}4f_{7/2}$  and  $\text{U}4f_{5/2}$  peaks, with the XPS  $\text{U}4f_{7/2}$  and  $\text{U}4f_{5/2}$  for  $\text{U}_3\text{O}_8$  at 381.5 eV and 392.4 eV, respectively (the FWHM for the  $\text{U}4f_{7/2} = 2.52\text{ eV}$ ). These peaks are due to contributions from  $\text{U}^{4+}$  to  $\text{U}^{6+}$  in a 1 to 2 ratio ( $\text{U}_3\text{O}_8$  is a mixed valent oxide). The fitting of  $\text{U}^{4+}$  and  $\text{U}^{6+}$  XPS 4f was conducted using the binding energy and FWHM from  $\text{UO}_2$  and  $\text{UO}_3$  (2.1 eV (10)), respectively. We have not considered the small contribution of  $\text{U}^{4+}$  satellites, though. The  $\text{Ar}^+$  sputtered sample shows a considerable shift to a lower binding energy; the  $\text{U}4f_{7/2}$  has shifted to 380.1 eV and the  $\text{U}4f_{5/2}$  to 390.5 eV. The shift to lower binding energy is associated with a considerable reduction of the FWHM of the peaks (that of  $\text{U}4f_{7/2}$  is = 2.2 eV). The reduction can also be followed by integrating the XPS O1s to XPS U4f (including the satellites in the case of U4f) peak areas ratios. Atomic sensitivity factors (with respect to fluorine) can be taken from  $\Phi$  (XPS reference [34] for an X-ray source at  $54.7^\circ$  from the analyzer). It is equal to 0.7 for O and 10.3 for U (or a correction factor of 14.5). These numbers are however empirical and very sensitive to the type of analyzer and sample position. If we take the  $\text{U}_3\text{O}_8$  that has been annealed at 773 K in high vacuum as the pure starting material the non-corrected O to U ratio is found equal to 0.165 (giving a correction factor of 16.1). On the other hand the XPS core and valence band levels of the  $\text{Ar}^+$ -sputtered  $\text{U}_3\text{O}_8$  are very similar to those of  $\text{UO}_2(111)$  single crystal and one can also take the O

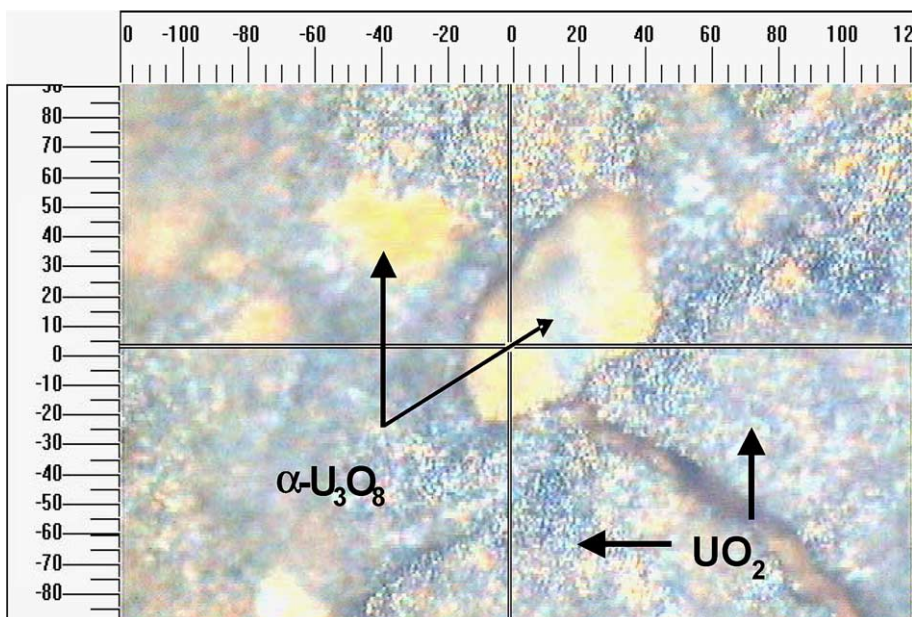


Fig. 4. Optical microscope image of polycrystalline  $\text{UO}_2$  upon exposure to  $\text{H}_2\text{O}$  vapor at room temperature in the Raman cell. Lighter regions identified from Raman spectroscopy as  $\alpha\text{-U}_3\text{O}_8$ , and darker regions as  $\text{UO}_2$ . The scale on both sides is in micrometer.

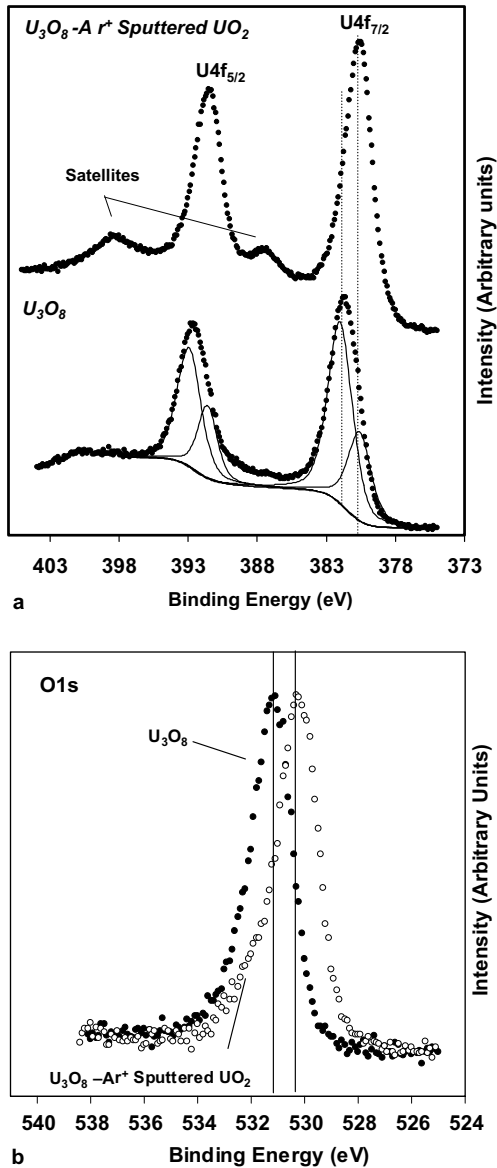


Fig. 5. (a) XPS (Al K $\alpha$ ) of  $\text{U}4f_{7/2}$  and  $\text{U}4f_{5/2}$  of  $\alpha\text{-U}_3\text{O}_8$  and  $\text{UO}_2$  (prepared from  $\text{Ar}^+$  sputtering of  $\alpha\text{-U}_3\text{O}_8$ ). Peak fitting of  $\alpha\text{-U}_3\text{O}_8$  peak shows +4 and +6 oxidation states. (b) O 1s of  $\alpha\text{-U}_3\text{O}_8$  and  $\text{UO}_2$  (prepared from  $\text{Ar}^+$  sputtering of  $\alpha\text{-U}_3\text{O}_8$ ). Darker filled dots correspond to  $\alpha\text{-U}_3\text{O}_8$ , and lighter unfilled dots of  $\text{UO}_2$ .

to U ratio in this case as equal to 2. However, we wanted to see the effect of sputtering time on the reduction of  $\text{U}_3\text{O}_8$  to  $\text{UO}_2$  and to  $\text{UO}_{2-x}$ . In that regard we have conducted several  $\text{Ar}^+$ -sputtering experiments (as a function of sputtering time) and have found that the ratio O to U decreased fast and then showed a very slow decrease with increasing sputtering time. Fig. 6 shows the effect of  $\text{Ar}^+$ -sputtering on the corrected O to U ratios (cor-

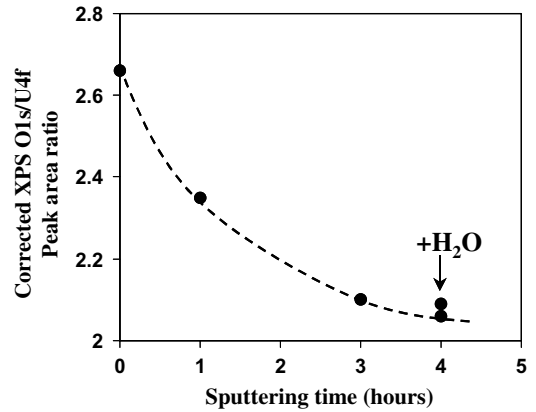


Fig. 6. Peak area ratio O 1s/U 4f vs  $\text{Ar}^+$  sputtering time. Final point shows the  $\text{H}_2\text{O}$  introduced surface.

rected using  $\text{U}_3\text{O}_8$ ). It is important to emphasize that the curve is semi-quantitative since the first point (zero time) is attributed to pure  $\text{U}_3\text{O}_8$ . The trend is however clear, after few hours of sputtering the ratio changes very slowly. If we consider a correction factor of 16.1 and that the fresh  $\text{U}_3\text{O}_8$  is a good representation than the sputtering surface after 4 h was the closest possible to  $\text{UO}_2$  (actually  $\text{UO}_{2.06}$ ).

Fig. 7 shows the valence region of  $\text{U}_3\text{O}_8$ . The  $\text{U}_3\text{O}_8$  peaks correspond to the  $\text{U}5f$ ,  $\text{U}6d\text{-O}2p$ ,  $\text{U}6p_{3/2}$ ,  $\text{O}2s$ , and  $\text{U}6p_{1/2}$  orbital bands at binding energy 1.0, 4.5,

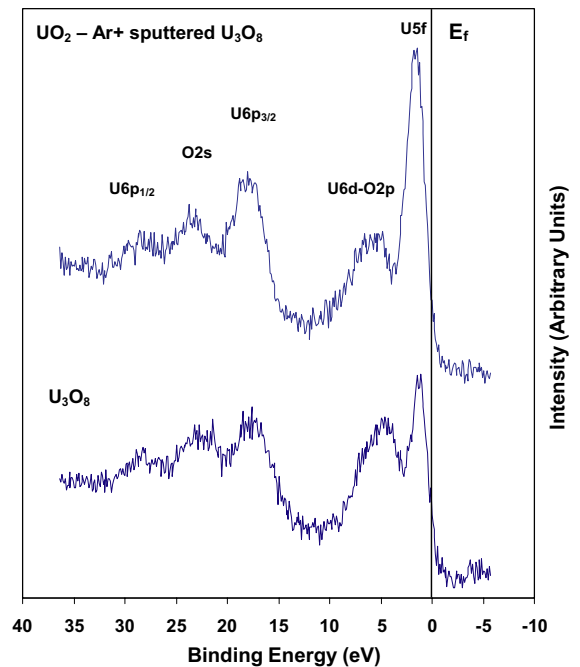


Fig. 7. XPS of valence band region of  $\alpha\text{-U}_3\text{O}_8$  and  $\text{UO}_2$ .

17.2, 22.4 and 28.0 eV respectively. Common to the actinide oxides the U6d and O2p bands appear as one peak due to intrinsic hybridization effect of U–O bonding. The binding energy difference of the U6p<sub>3/2</sub> and U6p<sub>1/2</sub> is found equal to 11 eV. O<sub>2</sub>-annealed U<sub>3</sub>O<sub>8</sub> shows even a smaller U5f to U6d–O2p ratio (not shown). The spectrum for the Ar<sup>+</sup>-sputtered surfaces is also displayed. A shift to lower binding energy is noticed and associated with it an increase of the U5f to U6p–O2p peak height ratios. The shift is consistent with moving from U<sub>3</sub>O<sub>8</sub> to UO<sub>2</sub> (both are n-type semiconductors). It is however not simple to extract information from the shift since UO<sub>2+x</sub> is formed while moving from U<sub>3</sub>O<sub>8</sub> to UO<sub>2</sub> and UO<sub>2+x</sub> is a p-type semiconductor.

Water (10 L) was then dosed on the sample at 300 K and the data are analyzed by XPS. Dosing water did increase the ratio to UO<sub>2.09</sub> (Fig. 6). This increase is due to both water adsorption (screening) and dissociation to surface oxygen atoms. Fig. 8 shows the core level U4f before and after water adsorption. The decrease of the satellites is the most noticeable change. A slight increase of the O1s line attributed to OH species at 532.7 eV is also observed (not shown). An estimation of the amount

of oxygen (and water) deposited on the surface can be obtained from the attenuation of the U4f line using the following expression [35]:

$$I_B = I_{B0} \times \left[ 1 - \phi_A + \phi_A \exp \left[ \frac{-a_A}{\lambda(E_B) \cos \theta} \right] \right], \quad (1)$$

where  $I_{B0}$  is the U4f signal before H<sub>2</sub>O adsorption,  $I_B$  that after H<sub>2</sub>O adsorption,  $\lambda$  the attenuation length of the photoelectron (taken as 10 Å) and  $\theta$  is the angle to the analyzer (50°).  $\phi$  is found equal to ≈0.2; this number is only an estimation since the attenuation of the U4f lines attributed to U<sup>4+</sup> due to water adsorption (screening) will compete with other U<sup>4+</sup> ions that might have been formed as a result of water dissociation and the consequent oxidation of U<sup>x+</sup> to U<sup>4+</sup>.

TPD of D<sub>2</sub>O was conducted on ≈UO<sub>2</sub> to see for the extent of surface oxidation. Water dissociation, associated with D<sub>2</sub>/H<sub>2</sub> formation, has been recently studied by our group [3] and Paffett group [12] on oxygen deficient UO<sub>2</sub> single crystals. We have seen two/three desorption temperatures for hydrogen (the third one is seen at very high surface exposure). Our main

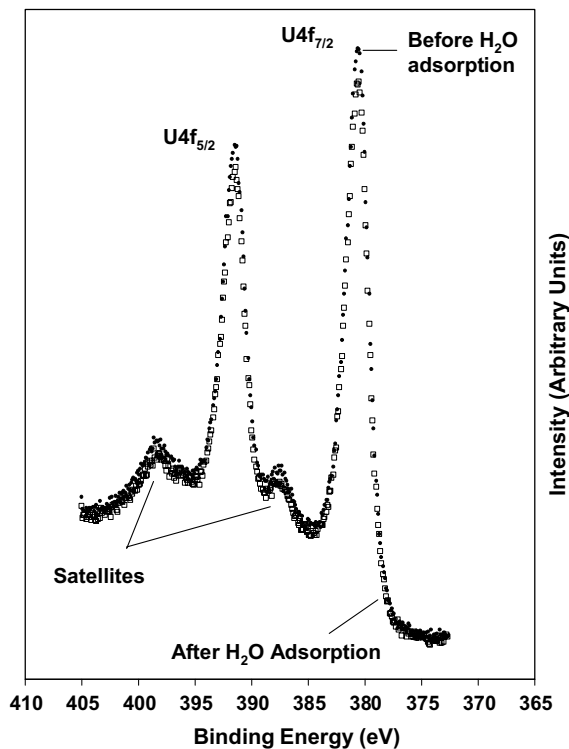


Fig. 8. XPS of U4f<sub>7/2</sub> and U4f<sub>5/2</sub> of clean UO<sub>2</sub> surface before and after introduction of H<sub>2</sub>O vapor. Surface before adsorption appears as dark filled dots and after H<sub>2</sub>O as unfilled lighter colored squares.

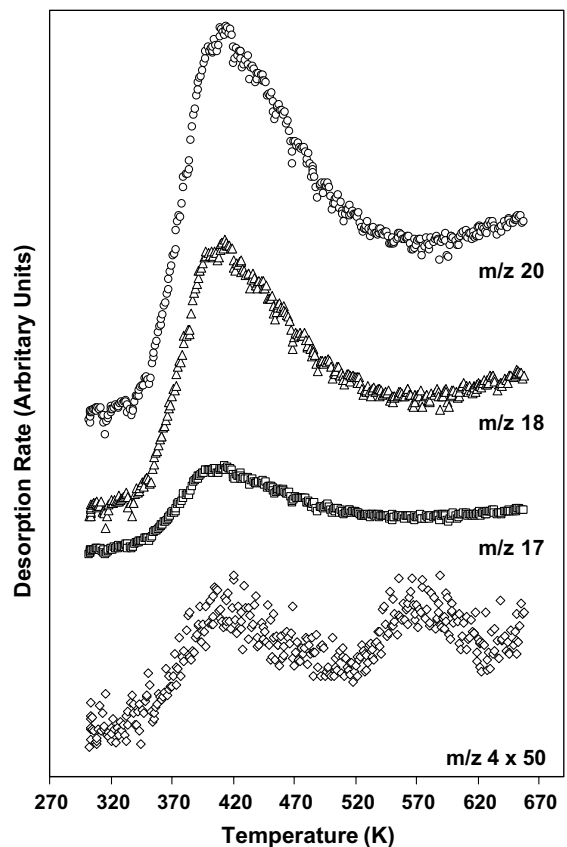


Fig. 9. TPD profile of D<sub>2</sub>O on clean UO<sub>2</sub> surface. Desorption profiles stacked for clarity.

conclusion for the defected  $\text{UO}_2$  single crystal works was that the high temperature desorption peak populated second. This could be explained as due to hydrogen–hydrogen recombination from deeper layers (where hydrogen molecules have to cross another activation barrier before desorbing). Fig. 9 shows TPD results following  $1 \mu\text{L}$  adsorption of  $\text{D}_2\text{O}$  on  $\text{UO}_2$ . The BET surface area of  $\text{UO}_2$  is close to  $3.5 \text{ m}^2$  for the  $0.5 \text{ g}$  used during TPD. This is equivalent to  $3.5 \times 10^{19}$  atoms (of which two-thirds are O and one-third is U atoms). One microliter of  $\text{D}_2\text{O}$  contains  $3.3 \times 10^{19}$  molecules. In other words a ratio 3 to 1 of  $\text{D}_2\text{O}$  to U.

As shown in Fig. 9 there are two desorption peaks of  $\text{D}_2$ , the first one at  $410 \text{ K}$  and the second one at  $570 \text{ K}$ . While  $\text{D}_2\text{O}$ , and some  $\text{H}_2\text{O}$ , also desorbed at  $420 \text{ K}$ . Fig. 10 shows the effect of increasing the initial surface exposure (above surface saturation) on  $\text{D}_2$  evolution during TPD. The second peak for  $\text{D}_2$  increases considerably with increasing surface exposure to  $\text{D}_2\text{O}$ . Combining this observation with that of Raman results indicates that the second desorption peak translates a farther reaction of  $\text{UO}_2$  with  $\text{D}_2\text{O}$ . The higher the  $\text{D}_2\text{O}$  exposure the more likely is the surface to bulk O and H atoms diffusion to occur. The reaction sequence can be described as follows.

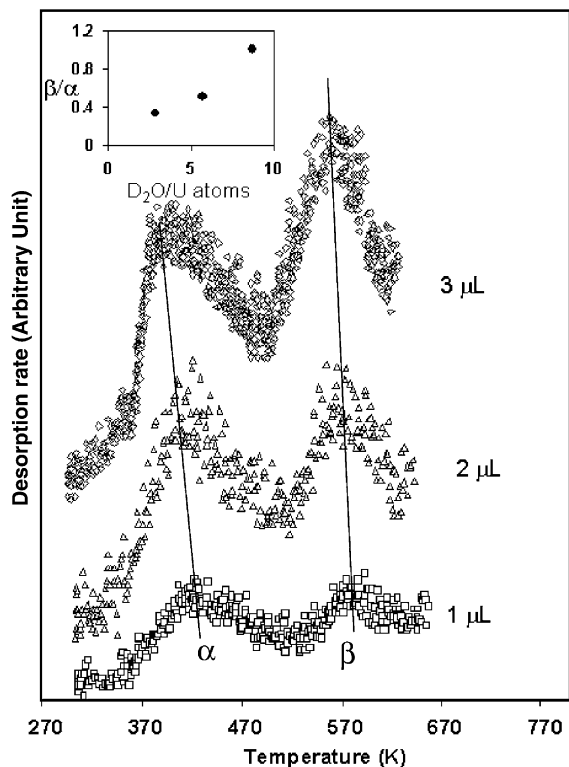
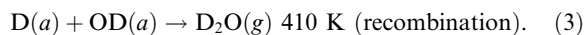
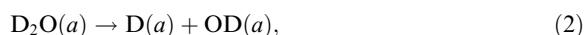


Fig. 10. TPD profiles of  $\text{D}_2$  desorption with increasing coverage ( $1\text{--}3 \mu\text{L}$ ). Inset shows  $\beta/\alpha$  vs  $\text{D}_2\text{O}/\text{U}$  atom.

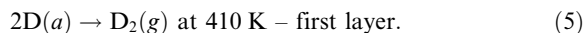
$\text{D}_2\text{O}$  dissociation:



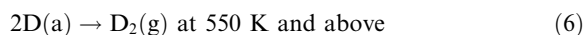
DO dissociation to deuterium (D) and Oxygen (O) atoms:



Surface D atoms recombination:



Diffusion of D atoms from deeper layers followed by recombination



(a) absorbed, (g) gas phase.

#### 4. Conclusions

By combining Raman spectroscopy with XPS and TPD it was possible to track the oxidation of  $\text{UO}_2$  using water. While Raman showed that some parts of  $\text{UO}_2$  could be oxidized to  $\text{U}_3\text{O}_8$  at  $300 \text{ K}$  with water vapor, XPS showed that part of the surface was oxidized with an overall stoichiometry equal to  $\text{UO}_{2.09}$ .  $\text{D}_2\text{O}$ -TPD showed the evolution of two  $\text{D}_2$  desorption peaks with a distribution dependent on the initial surface exposure. The first desorption did saturate first while the second desorption increased with increasing initial surface exposure. This second desorption is due to  $\text{D}_2$  desorption from deeper layer and is consistent with the Raman results.

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